

## Monash University Procedure

<b>Procedure Title</b>	Academic Review Procedures
<b>Parent Policy</b>	<a href="#">Academic Review Policy</a>
<b>Date Effective</b>	24 February 2014
<b>Review Date</b>	24 February 2017
<b>Procedure Owner</b>	Vice-Provost (Faculty & Graduate Affairs)
<b>Category</b>	Academic
<b>Version Number</b>	3.1 ( <i>Administrative amendments effective 17/6/2020</i> )
<b>Content Enquiries</b>	<a href="#">Academic Reviews Advisor</a>
<b>Scope</b>	All academic areas on all campuses of the University, including faculties, schools, departments, centres, institutes, disciplines or cross-disciplinary areas.
<b>Purpose</b>	To specify the principles guiding the review of academic organisational units and areas.
<b>PROCEDURE STATEMENT</b>	

An academic review may focus on a faculty, school, department, centre or institute. Reviews may also be undertaken on a discipline or cross-disciplinary area.

The scope of a review may vary. A review may focus on a single issue, such as international operations, industry engagement or strategic direction, or may be a comprehensive review of all aspects of an area's operations, including strategic direction, planning and quality assurance, resource management, academic programs, learning and teaching, research and research training, and external engagement activities. Existing evidence available from University processes or other internal or external reviews should be used to inform the academic review process.

The review process will:

- Have terms of reference that reflect the specific purpose of the review
- Include input from external stakeholders
- Maintain a focus on standards, evidence and outcomes
- Use key performance data, including external benchmarks
- Consider the outcomes of relevant course reviews and external accreditation reviews
- Consider evidence from other University processes and reviews where relevant
- Produce a review report that identifies future directions and opportunities
- Include an action plan with defined timelines and accountabilities
- Require progress reports until all actions have been addressed
- Be efficient in operation and not go beyond the terms of reference.

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Resourcing of the review process is the responsibility of the area to be reviewed.

An academic review usually involves an internal review and an external review. The Provost and Dean (or for off-shore campuses, the Vice-Chancellor and Pro Vice-Chancellor of an offshore campus) will determine whether an academic review should include both stages. Where a cross-disciplinary area is to be reviewed, the Provost will consult with the Deans of the relevant faculties and resourcing the review will be shared between those faculties.

The Vice- Provost (Faculty & Graduate Affairs), Provost or delegate may approve alternative review procedures appropriate to the scope and terms of reference of a proposed review.

### Coordination and Advice

Reviews will be supported by the Office of Research Performance. The Office of Research Performance will advise on the review process and provide supporting documents and data, including example terms of reference, data requirements, and report templates.

The Academic Reviews Advisor will maintain the University's central academic review register.

## Stage 1: Initiating a Review

Deans (or Pro Vice-Chancellors of offshore campuses) and/or the Provost or Vice-Chancellor may initiate a review of an academic area or discipline at any time and may determine whether that review will consist of both an internal review and external review.

As part of the annual faculty strategic planning process, each Dean (or Pro Vice-Chancellor of an offshore campus) will nominate potential academic areas for review during the following calendar year, and specify appropriate focus/terms of reference for each review. Examples of criteria that may prompt the nomination include the following:

- Significant changes to strategic direction
- Emerging opportunities for a discipline area
- Failure to meet key performance indicators over time
- Non-compliance with critical University policies and procedures
- Failure to meet external standards such as the Higher Education (Threshold) Standards or professional accreditation standards
- Budgetary challenges.

The Dean in discussion with the Provost (or the Pro Vice-Chancellor of an offshore campus and the Vice-Chancellor) will determine which of those nominated reviews are to be undertaken in the next calendar year. Consideration will also be given to reviewing academic areas which have not been reviewed within the last five years.

Centres and institutes that exist within a faculty may be reviewed separately or as part of the organisational units to which they belong (department, school or faculty). Newly established centres and institutes must undergo an initial review within the first two years of establishment. This may comprise an internal review only.

The Vice-Provost (Faculty & Graduate Affairs), Provost or delegate will determine the review schedule for centres and institutes that exist outside of the faculties.

Each Dean (or Pro Vice-Chancellor of an off shore campus) will post a notice of scheduled reviews on the faculty/campus website. The Office of Research Performance will post notices on the University's central academic review register.

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### **Responsibility**

Vice-Chancellor  
Provost  
Vice-Provost (Faculty & Graduate Affairs)  
Deans  
Pro Vice-Chancellor of Offshore Campuses  
Office of Research Performance  
Academic Reviews Advisor

## Stage 2: Internal Review

The Dean (or Pro Vice-Chancellor of an offshore campus) will appoint the members of the Internal Review Team having consulted with the head(s) of the area(s) under review.

The relevant head(s) of the area(s) under review will oversee the internal review.

The Internal Review Team should, where possible, use a standard data set provided by the Office of Research Performance.

Internal and external stakeholders must be notified that the internal review is taking place and invited to make submissions to the review.

The Internal Review Team must report against the terms of reference and not beyond. The report will be concise and, where relevant use evidence from other reviews or processes.

The Dean (or Pro Vice-Chancellor of an offshore campus) will forward the internal review report to the Provost (or Vice-Chancellor) who will confirm whether an external review is required. If an external review is not required, the Dean (or Pro Vice-Chancellor of an offshore campus) will provide to the Provost (or Vice-Chancellor) an action plan and make periodic progress reports against the plan. If an external review is required, the internal review report or equivalent documentation forms the basis of the external review.

The Dean (or Pro Vice-Chancellor of an offshore campus) will forward to the Academic Reviews Advisor the internal review report and action plan (if applicable) for inclusion in the University's central academic review register.

### **Responsibility**

Vice-Chancellor  
Provost  
Deans  
PVC of offshore campuses  
Head(s) of area(s) under review  
Internal Review Team  
Office of Research Performance  
Academic Reviews Advisor

## 1. Stage 3: External Review

### **Appointment of External Review Panel**

Selection of External Review Panel members is based on their experience and expertise with regard to the terms of reference. An external review panel will normally include:

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- An appointee of the Provost (or Vice-Chancellor)
- Two senior academics from cognate areas but external to Monash University (an international perspective is encouraged)
- A senior Monash academic external to the area and usually external to the faculty
- A representative from industry or an appropriate employer group
- A current student or recent graduate of the area(s) under review.

The Dean (or Pro Vice-Chancellor of an offshore campus) will forward to the Academic Reviews Advisor the names and biographies of recommended members of the External Review Panel. The Provost (or Vice-Chancellor) will consider the recommendations and approve the final membership of the Panel. The Provost will determine the chair of the Panel on the recommendation of the Dean (or the Vice-Chancellor on recommendation of the Pro Vice-Chancellor of an offshore campus). Members of the External Review Panel will be asked to complete a 'Disclosure of Conflict of Interest Form' prior to the commencement of the review.

The Dean (or Pro Vice-Chancellor of an offshore campus) will recommend to the Provost (or Vice-Chancellor) an appropriate Executive Officer to the Panel. For reviews of centres or institutes outside a faculty, the area under review will recommend to the Vice-Provost (Faculty & Graduate Affairs) or Provost an appropriate Executive Officer.

### External Review Process

The Executive Officer will provide the External Review Panel with the following:

- Internal Review Report or equivalent documentation where the review proceeds directly to an external review
- Other supporting documentation (such as professional accreditation reports) if appropriate
- An invitation to request any additional documentation.

The Executive Officer will also invite input from relevant internal and external stakeholders. Confidential submissions may be forwarded to the Panel through the Office of Research Performance.

The Executive Officer will schedule the External Review Panel visit in consultation with the Panel members, the Dean (or Pro Vice-Chancellor of an offshore campus) and the head(s) of area(s) under review. The visit will usually take one to two days. During the visit, the Panel will normally meet with stakeholders, and consider submissions and requests for interviews. At the end of the visit the Panel will present its preliminary findings to the Dean (or Pro Vice-Chancellor of an offshore campus).

### External Review Report

The Chair will work in conjunction with the Executive Officer to draft the review report, which will be submitted to the Dean (or Pro Vice-Chancellor of an offshore campus), and head(s) of area(s) who will inform the Chair of any errors of fact.

The Chair and Executive Officer will submit the final External Review Report to the Dean (or Pro Vice-Chancellor of an offshore campus).

### **Responsibility**

Vice-Chancellor  
Provost  
Vice-Provost (Faculty & Graduate Affairs)  
Deans  
PVCs of offshore campuses  
Head(s) of area(s) under Review

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Executive Officer to the Review Panel  
External Review Panel  
Office of Research Performance  
Academic Reviews Advisor

### Stage 4: Action Plan

On receiving the final external review report, the Dean (or Pro Vice-Chancellor of an offshore campus) and head(s) of the area(s) under review will:

- Consider the recommendations and develop a proposed action plan
- Report major issues to the Provost (or Vice-Chancellor)
- Modify faculty plans if necessary.

The Dean will send the External Review Report and action plan to the Academic Reviews Advisor who will submit them to the appropriate Academic Board standing committee and the relevant member of senior management.

Focus of Review Report and Action Plan	Faculty/Campus Committee	Relevant Academic Board standing committee	Relevant Member of Senior Management
Review of faculty, school, department or discipline	Dean and/or faculty advisory committee/Faculty academic standards committee (or Campus equivalent)	Education Committee; if the academic unit has a research focus then to Monash University Research Committee as well	Vice-Provost (Faculty & Graduate Affairs), Provost or delegate
Review of centre, institute or research area or discipline	Faculty/campus/institute research committee	Monash University Research Committee	Vice-Provost (Faculty & Graduate Affairs), Provost or delegate

At the end of each calendar year, the Office of Research Performance will provide Academic Board with a summary of all completed reviews and the major outcomes of each. The Provost may choose to table certain review reports at Academic Board through the year.

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Provost  
Vice-Provost (Faculty & Graduate Affairs)  
Deans  
PVCs of offshore campuses  
Head(s) of area(s) under Review  
Executive Officer to the Review Panel  
External Review Panel  
Office of Research Performance  
Academic Reviews Advisor

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### Stage 5: Progress Report

Twelve months after the review, the Dean (or Pro Vice-Chancellor of an offshore campus) will provide the Office of Research Performance with a progress report on implementation of the review recommendations for on-forwarding to the relevant member of senior management and appropriate Academic Board standing committee(s). The Office of Research Performance will also post the report on the University's central academic review register.

The Dean (or Pro Vice-Chancellor of an offshore campus) will report periodically to the relevant Academic Board standing committee on the implementation of the action plan until it has been completed.

#### **Responsibility**

Vice-Chancellor  
Provost  
Vice-Provost (Faculty & Graduate Affairs)  
Deans  
PVCs of offshore campuses  
Head(s) of area(s) under Review  
Executive Officer to the Review Panel  
External Review Panel  
Office of Research Performance  
Academic Reviews Advisor

#### **Academic Review of a Discipline**

A review of a discipline will normally take the form of an external review with the following variations:

Deans and/or the Provost may initiate an academic review of a discipline at any time. The review panel will normally comprise:

- Two senior academics external to the University with expertise in the discipline.
- Up to two senior academics internal to the University.
- Additional members as required.

The panel members will be appointed by the Provost in consultation with the relevant dean(s). The Provost will appoint one of the panel members as the Chair.

The Provost will appoint an appropriate Executive Officer to the Panel.

The relevant faculty/faculties will be invited to provide input to the review and documentation equivalent to an internal self-review report. The review panel will report to the Provost and relevant faculties will subsequently be asked to provide action plans and progress reports on the implementation of the review panel's recommendations.

As set out in Stage 4, discipline review reports will be submitted to the relevant standing Committee of the Academic Board.

#### **Responsibility**

Vice-Chancellor  
Provost  
Vice-Provost (Faculty & Graduate Affairs)

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Deans  
 PVCs of offshore campuses  
 Head(s) of area(s) under Review  
 Executive Officer to the Review Panel  
 External Review Panel  
 Office of Research Performance  
 Academic Reviews Advisor

<b>Responsibility for implementation</b>	Vice-Chancellor Provost Vice-Provost (Faculty & Graduate Affairs) Deans
<b>Status</b>	Revised
<b>Approval Body</b>	<b>Name:</b> Deputy Vice-Chancellor (Education) <b>Meeting:</b> 07/2013 <b>Date:</b> 27-November-2013 <b>Agenda item:</b> 7.3
<b>Definitions</b>	
<b>Legislation Mandating Compliance</b>	Queries may be addressed to Risk and Compliance at: <a href="mailto:riskandcompliance@monash.edu">riskandcompliance@monash.edu</a> .
<b>Related Policies</b>	<a href="#">Centres and Institutes Policy</a> <a href="#">Coursework Courses and Units Accreditation Policy</a>
<b>Related Documents</b>	